

# A prospective, single-arm, phase I/II trial of RAS peptide vaccine TG01/GM-CSF and gemcitabine as adjuvant therapy for patients with resected pancreatic adenocarcinoma

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### Background

TG01 is a cancer specific therapeutic peptide vaccine targeting oncogenic mutations in the RAS genes (K-, N- and H-RAS). RAS mutation is a driver for development of cancer and is present in up to 30% of all cancer<sup>1</sup> and above 85% in pancreatic cancer<sup>2</sup>. There is a large unmet medical need for treatment of cancers with RAS mutation. TG01 induces RAS mutation specific T cell responses.

TG01 consists of a mixture of 7 synthetic peptides that represent 7 of the most common codon 12 and 13 mutations in p21RAS associated with human cancer. Molgramostim (recombinant human granulocyte macrophage-colony stimulating factor (GM-CSF)) is co-administered with TG01 in order to enhance induction of the T cell responses.

TG01 is the first therapeutic peptide vaccine targeting RAS that entered clinical trials. Earlier studies demonstrate that adjuvant vaccination with TG01/GM-CSF given as monotherapy to pancreatic cancer patients after tumor resection induce immune response in 100% of evaluable patients<sup>3</sup>.

present study evaluates safety and immunological response of the RAS peptide vaccine TG01/ discontinued from the study (Table 2). Six patients had confirmed disease recurrence (1 died due GM-CSF when given in combination with gemcitabine as adjuvant therapy.

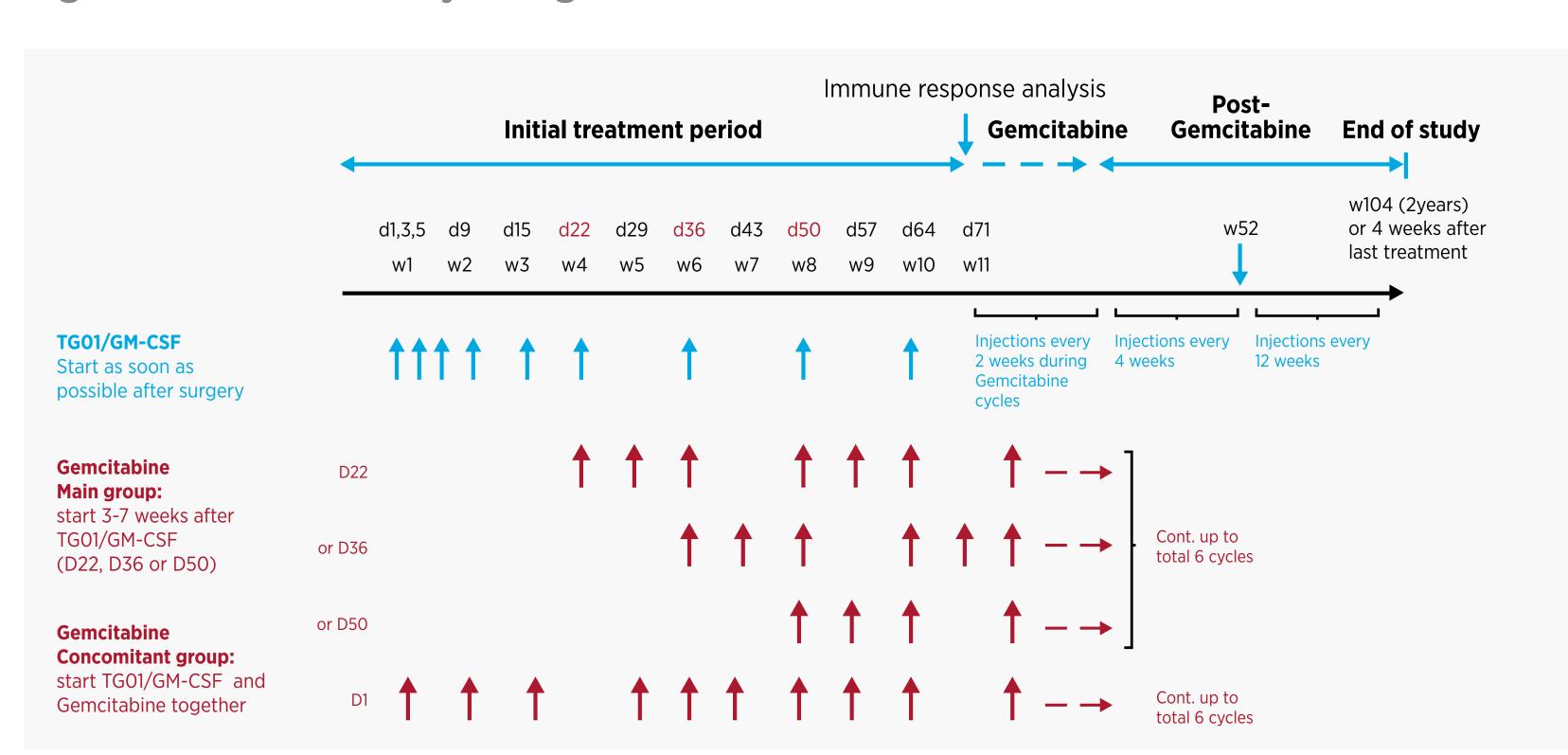
### Methods

Eligible patients had a confirmed diagnosis of adenocarcinoma of the pancreas and successful surgical resection (R0 or R1), no previous radiation or chemotherapy (except for primary neoadjuvant chemotherapy, if applicable) and were expected to receive gemcitabine as adjuvant chemotherapy within 12 weeks of surgery.

Patients started TG01/GM-CSF within 1-8 weeks after surgery and chemotherapy with Gemcitabine (1000 mg/m $^2$  for 3/4 weeks x 6 cycles) 3-7 weeks after vaccination or started TG01/ GM-CSF and chemotherapy concomitantly within 12 weeks of surgery, (Figure 1).

The patients were assessed for immune responses (DTH) up to week 11 after receiving at least one cycle of gemcitabine. Safety information was collected during the entire 2-year study period Patients who could not tolerate gemcitabine could be switched to 5-FU/leucovorin.

Figure 1. TG01-01 Study Design



### Results

This is an ongoing study and preliminary data as of 25th April 2015 are presented. 19 patients have been included from three sites (1 in Norway and 2 in UK), 18 patients were eligible for immune assessment in initial treatment period (up to week 11). Patient baseline characteristics are shown in table 1.

### Table 1. Baseline Characteristics

Parameter	N = 19
Age (y), median (range)	67 (49, 79)
Gender, n (%) Male Female	10 (53%) 9 (47%)
Baseline ECOG score, n (%) 0 1	8 (42%) 11 (58%)
Outcome surgery, n (%) R0 R1	6 (32%) 13 (68%)

Adjuvant treatment of resected pancreatic cancer with gemcitabine are now widely used and the Four patients are still ongoing (3-6 months after the start of vaccinations) and 15 patients have to disease progression).

### Table 2. Patient Disposition

	Number of Patients (N=19)
Patienst ongoing	4
Disease Recurrence (1 death from progression)	6
Withdrawals: Consent withdrawn	3
Investigators decision Adverse events (including 1 unrelated death)	2 4

### Immunological response

Overall immune response as detected by delayed type hypersensitivity (DTH) testing in the skin and in vitro T cell proliferation are presented in Table 3. Positive DTH response (average diameter ≥5mm) was detected in 14/18 (78%) treated patients, 14/17 (82%) evaluable patients, 13/14 (93%) in main group and 1/3 (33%) in concomitant group.

### Table 3. Immune responses detected by DTH and in vitro T cell proliferation

	Positive DTH response n=17*	Positive T cell response n=8**	*18 patients treated, 17 patients evaluable for DTH response
Total	14/17 (82%)	6/8 (75%)***	**10 patients analyzed per to date for T cell response, 8 patients evaluable for assessment
Main group	13/14 (93%)	6/8 (75%)***	*** 2 patients had SI ≥1.5 and <2
Concomitant Group	1/3 (33%)	0/0	

The KRAS mutation status and detected immune responses for individual patients are summarized in Table 4.

KRAS mutation (codon 12/13) were detected in 15/17 (88%) of the analyzed patients. 14/17 (82%) patients had developed detectable DTH skin reaction to TG01 by week 11, all being positive at week 6 or week 8.1/3 (33%) evaluable patients in the concomitant group had detectable DTH.

Possible causes for the observed drop in DTH responses after second cycle of chemo (week 10) might be the effects of prolonged chemotherapy and/or natural fluctuations<sup>4</sup>.

In vitro T cell analyses showed induction of TG01 specific immune responses (Stimulation index (SI) ≥2) in 6/8 (75%) evaluable patients analyzed to date, all in main group. As shown in Figure 2a, the immune response increased over time after continuous vaccinations. Immune responses were detected for all single peptides covered in TG01 demonstrating that all peptides are immunogenic (Figure 2b).

### Table 4. Detected KRAS codon 12 or 13 mutation and immune response a. Main group; TG01/GM-CSF from week 1, TG01/GM-CSF and gemcitabine from week 4

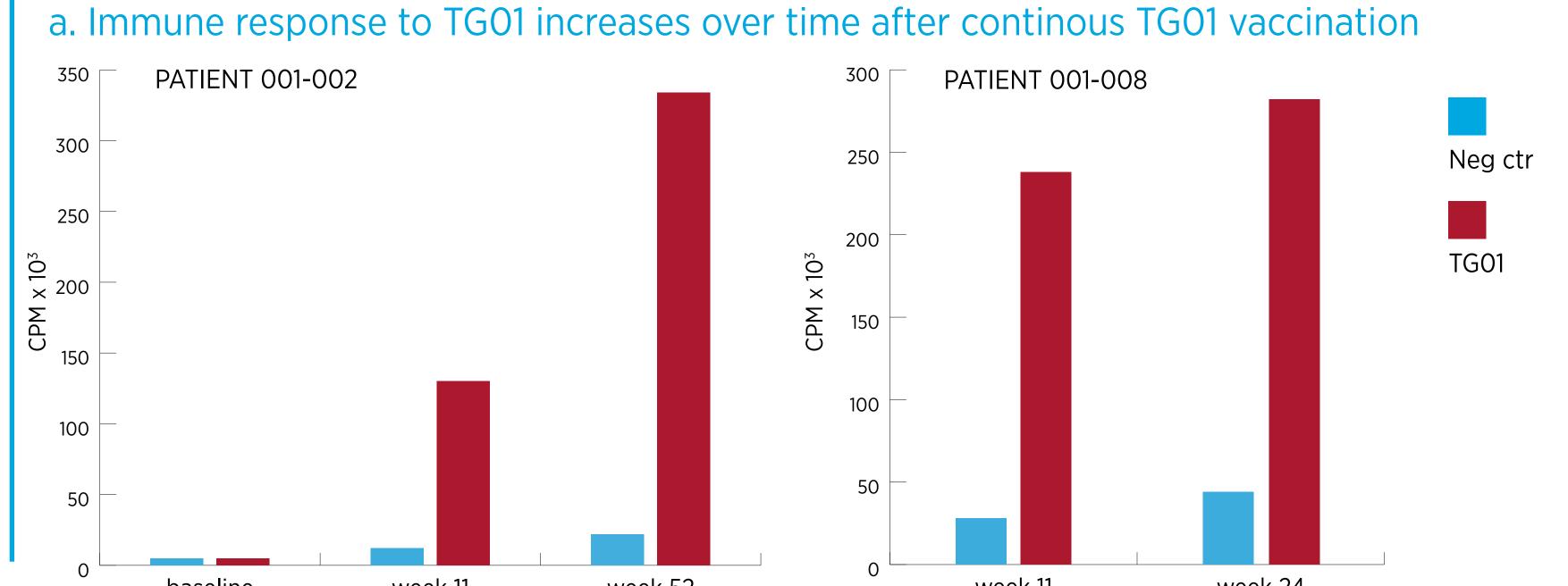
Main group (n=14)	KRAS mutation	DTH W1 (D1)	DTH W1 (D8)	DTH W3 (D15)	DTH W4 (D22)	DTH W6 (D36)	DTH W8 (D50)	DTH W10 (D64)	DTH Outcome	In vitro T cell response W11
Pt 01-001	yes	-	-	-	-	+	+	-/+	+	+
Pt 01-002	yes	-	-	-	-	-	+	-	+	+
Pt 01-003	yes	-	-	-	-	+	+	+	+	-/+
Pt 01-005	yes	-	-	+	-/+	+	+	-	+	-/+
Pt 01-006	yes	-	_	+	+	+	+	+	+	+
Pt 01-007	yes	-	-	-	-	-	+	-	+	NE
01-008	yes	-	+	+	+	+	+	+	+	+
Pt 01-009	yes	-	_	+	+	+	+	-	+	+
Pt 01-010	yes	-	-	-	+	+	+	+	+	+
Pt 01-011	no	-	_	+	+	-	+	+	+	NE
Pt 02-001*	no	-	-	-	-	-	-	NC	-	NC
Pt 02-002	yes	-	-	-	+	+	+	+	+	NT
Pt 02-003	yes	-	-	+	+	+	-	-	+	NT
Pt 02-004	yes	-	-	+	+	NC	NC	NC	+	NT

### b. Concomitant group; TG01/GM-CSF and gemcitabine from week 1

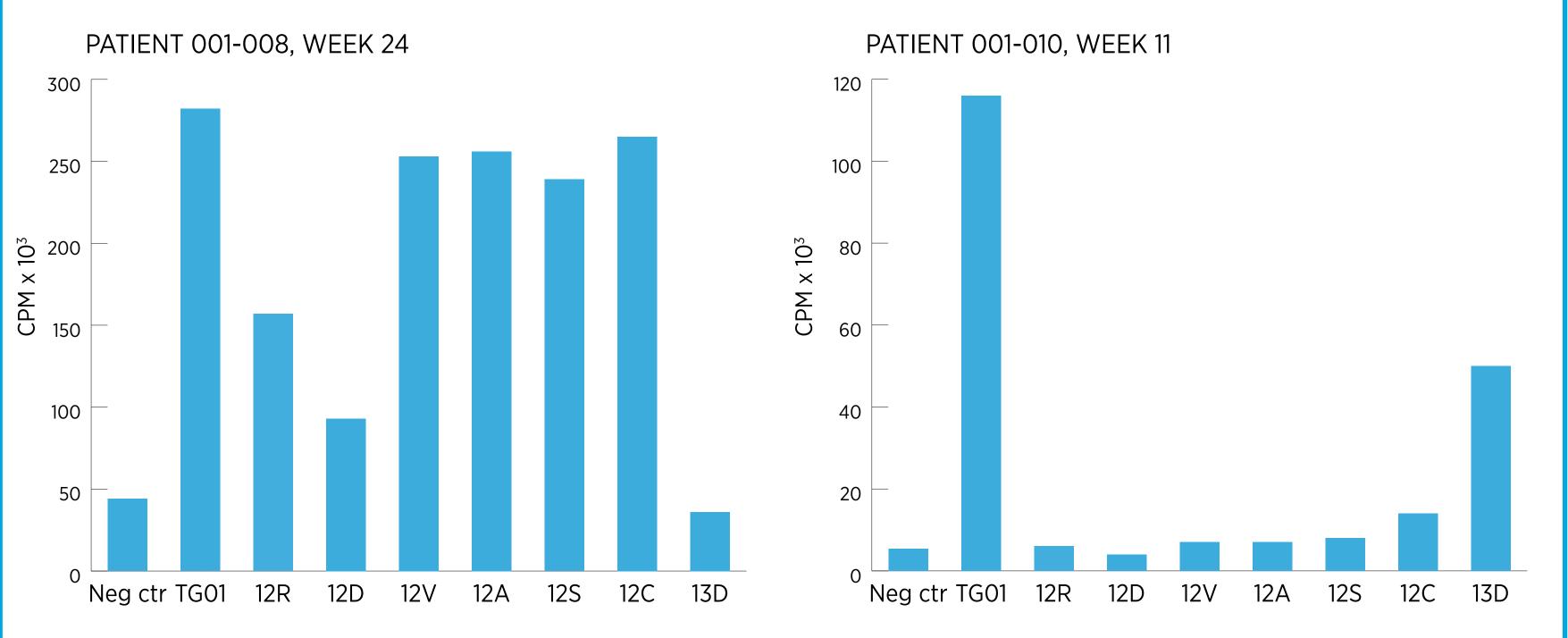
Concomitant group (n=14)	KRAS mutation	DTH W1 (D1)	DTH W1 (D8)	DTH W3 (D15)	DTH W5 (D29)	DTH W7 (D43)	DTH W9 (D57)	DTH W11 (D71)	DTH Outcome	In vitro T cell response W11
Pt 02-005	yes	-	-	-	-	-	-	-	-	NT
Pt 02-006	yes	-	-	-	NC	NC	NC	NC	NE	NT
Pt 02-007	yes	-	-	+	-	+	+	+	+	NT
Pt 03-001	NT	NC	-	-	-	-	-	-	-	NT

cell response: +: SI ≥2, -/+: SI ≥1.5 and <2 NE: Not Evaluable, NC: Sample not collected, NT: Not Tested \*DTH responses only assessed up to week 8

# Figure 2. In vitro T cell immune responses to TG01 and single peptides



### b. Immune response was detected towards all 7 peptides that comprises TG01



## Safety

So far 251 adverse events (AEs) have been reported in 19 patients (Table 5). The most common AEs reported (occurring in at least 3 patients) are presented in table 5. The number of drug related grade 3/4 events are included in table 6.

### Table 5. Adverse events (all cause) occuring in at least 3 patients, number of grade 3/4 events and number of patients with grade 3/4 events

System organ class Preferred term	Events	Patients (N=19) n (%)	Grade 3/4 events	Patients with Grade 3/4 events n (%)
Adverse event				
Any adverse event	251	19	35	12 (68%)
Blood and lymphatic system disorders				
Neutropenia (including neutrophil count decrease)	13	8 (42%)	13	8 (42%)
Gastrointestinal disorders				
Nausea	33	9 (47%)		
Abdominal pain	12	8 (42%)	2	2 (11%)
Diarrhea	5	5 (26%)	1	1 (5%)
Vomiting	14	5 (26%)		
Constipation	3	3 (16%)		
General disorders and administration site conditions				
Fatigue	16	10 (53%)	1	1 (5%)
Influenza-like illness	10	6 (32%)		
Injection Site Reaction	8	4 (21%)		
Pyrexia (fever)	7	4 (21%)		
Edema peripheral	3	3 (16%)		
Metabolism and nutrition disorders				
Decreased appetite	5	5 (26%)		
Hyperglycemia	3	3 (16%)	3	3 (16%)
Immune system disorders				
Anaphylaxis	3	3 (16%)	3	3 (16%)
Nervous system disorders				
Headache	6	4 (21%)		
Dysgeusia	3	3 (16%)		
Psychiatric disorders				
Insomnia	4	4 (21%)		

### 32 of the 251 AEs reported so far, have been related to the vaccination; 29 related

to TG01/GM-CSF, 3 related to TG01 and 1 related to GM-CSF. The majority of these adverse reactions were expected skin reactions as well as flu-like symptoms. Most of these events were grade 1/2, except

for the two anaphylactic reactions reported as grade 4. Four patients experienced allergic reactions related to TG01 or TG01/GM-CSF. Two experienced a serious adverse event of anaphylaxis, one patient experienced symptoms of an allergic reaction on 2 occasions and the last patient experienced dizziness, lightheaded, puffiness of both eyes. The more severe allergic reactions were those that occurred after gemcitabine had been administered for at least 3 cycles.

Table 6. Grade 3/4 Adverse Events related to any study

Relationship to study medication

### Table 7 Carious Adverse Events

Table 7. Serious Adverse Events		
Serious Adverse Events Preferred term	Number of Events	Relationship to study treatment
Anaphylactic reaction	2	Related to TG01 +/- GM-CSF
Dyspnea	1	Related to Gemcitabine and TG01/GM-CSF
Pulmonary infection	1	
Pyrexia (fever)	2	Related to Gemcitabine
Anemia	1	
Anaphylactic shock related to a concomitant medication (Emend)	1	Unrelated to study treatments
Hyperglycemia	1	
Urosepsis	1	
Pneumonia	1	

11 serious adverse events occurred in six patients including 1 unrelated death. Three of these events are considered to be related to TG01/GM-CSF (Table 7).

### Conclusions

• Persisting RAS mutation specific T cell responses were induced and enhanced when TG01/GM-CSF was administered in combination with gemcitabine.

• The T cell responses were maintained during and after chemotherapy with booster vaccinations. • The preliminary immunological results indicate that initiating the TG01/GM-CSF treatment

prior (3 weeks) to start of gemcitabine treatment might be favorable for induction of immune

 The regimen was generally well tolerated with related events to TG01/GM-CSF being those expected (local reactions and flu-like symptoms) for a peptide vaccine. Grade 3/4 reactions were primarily related to gemcitabine. There were 4 patients with related allergic reactions to vaccination (6 events) for three of which the event occurred during or after gemcitabine treatment and in 2 cases it was severe. Additional vaccination regimens are currently under investigation.

<sup>1</sup> Alberto Fernández-Medarde and Eugenio Santos; Genes and Cancer: 2(3), 344-358 (2011)

<sup>2</sup> Miglio U et al. Pathol Res Pract; 210(5):307-11 (2014) <sup>3</sup> Weden S et al. Int. J. Cancer: 128; 1120-1128 (2011)

response – at least as measured by DTH.

<sup>4</sup> Gjertsen MK et al. Int. J. Cancer: 92, 441-450 (2001)